

Receipt

PATENT
85CF-00105

IN THE UNITED STATES OFFICE OF PATENTS AND TRADEMARKS

Applicants: Johnson et al.

Serial Number: 09/737,039

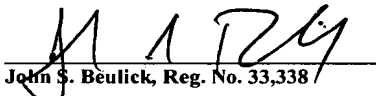
Filed: December 14, 2000

For: METHODS AND SYSTEMS FOR
OPTIMIZING RETURN AND PRESENT
VALUE

:
: Group Art Unit: 2121
:
: Examiner: Unassigned
:
:
:
:
:

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231, on December 19, 2001.


John S. Beulick, Reg. No. 33,338

REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

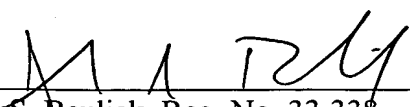
Sir:

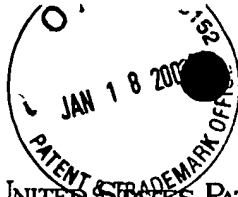
RECEIVED
JAN 29 2002
Technology Center 2100

Please correct the Updated Filing Receipt (copy attached) to reflect the correct residence address for inventor, Marc Thomas Edgar. His correct address, as shown on the Declaration and Power of Attorney filed May 3, 2001, is as follows:

Marc Thomas Edgar
11A Foxfire Lane
Glenmont, New York 12077

Respectfully submitted,


John S. Beulick, Reg. No. 33,338
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102
(314) 621-5070



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/737,039	12/14/2000	2121	1020	85CF-00105	14	30	3

CONFIRMATION NO. 7858

UPDATED FILING RECEIPT

OC000000006281090

John S. Beulick
Armstrong Teasdale LLP
One Metropolitan Sq., Suite 2600
St. Louis, MO 63102

Date Mailed: 07/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Christopher D. Johnson, Clifton Park, NY;
Marc T. Edgar, ~~Clifton Park, NY~~ **Glenmont, NY**
Tim K. Keyes, West Redding, CT;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/173,876 12/30/1999

Foreign Applications

If Required, Foreign Filing License Granted 02/06/2001

Projected Publication Date: 10/18/2001

Non-Publication Request: No

Early Publication Request: No

Title

Methods and systems for optimizing return and present value

Preliminary Class

700

RECEIVED
JAN 29 2002
Technology Center 2100

ENTERED
Date: 7-16-01
By: lam
17243-7

Data entry by : SAHLE, ALMAZ

Team : OIPE

Date: 07/11/2001



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

 49
 131-02

Bib Data Sheet

CONFIRMATION NO. 7858

SERIAL NUMBER 09/737,039	FILING DATE 12/14/2000 RULE	CLASS 700	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. 85CF-00105
APPLICANTS Christopher D. Johnson, Clifton Park, NY; Marc T. Edgar, Glenmont, NY; Tim K. Keyes, West Redding, CT;				
** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/173,876 12/30/1999				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 02/06/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged	STATE OR COUNTRY NY Examiner's Signature <i>[Signature]</i> Initials	SHEETS DRAWING 14	TOTAL CLAIMS 30	INDEPENDENT CLAIMS 3
ADDRESS John S. Beulick Armstrong Teasdale LLP One Metropolitan Sq., Suite 2600 St. Louis, MO 63102				
TITLE Methods and systems for optimizing return and present value				
FILING FEE RECEIVED 1020	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	